

TRANSLATION

PATENT COOPERATION TREATY

PCT

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference 8804 WO PA-RIX	FOR FURTHER ACTION	See Form PCT/IPEA/416
International application No. PCT/EP2004/010883	International filing date (<i>day/month/year</i>) 29.09.2004	Priority date (<i>day/month/year</i>) 21.10.2003
International Patent Classification (IPC) or national classification and IPC F16H3/54, F16D23/04, F16H3/78		
Applicant ZF FRIEDRICHSHAFEN AG		

1. This report is the international preliminary examination report, established by this International Preliminary Examining Authority under Article 35 and transmitted to the applicant according to Article 36.
2. This REPORT consists of a total of <u>4</u> sheets, including this cover sheet.
3. This report is also accompanied by ANNEXES, comprising: a. <input type="checkbox"/> (sent to the applicant and to the International Bureau) a total of _____ sheets, as follows: <input type="checkbox"/> sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions). <input type="checkbox"/> sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental Box. b. <input type="checkbox"/> (sent to the International Bureau only) a total of (indicate type and number of electronic carrier(s)) _____, containing a sequence listing and/or tables related thereto, in computer readable form only, as indicated in the Supplemental Box Relating to Sequence Listing (see Section 802 of the Administrative Instructions).
4. This report contains indications relating to the following items: <input checked="" type="checkbox"/> Box No. I Basis of the report <input type="checkbox"/> Box No. II Priority <input type="checkbox"/> Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability <input type="checkbox"/> Box No. IV Lack of unity of invention <input checked="" type="checkbox"/> Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement <input type="checkbox"/> Box No. VI Certain documents cited <input type="checkbox"/> Box No. VII Certain defects in the international application <input type="checkbox"/> Box No. VIII Certain observations on the international application

Date of submission of the demand	Date of completion of this report
Name and mailing address of the IPEA/EP	Authorized officer
Facsimile No.	Telephone No.

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International application No.

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Box No. I Basis of the report

1. With regard to the **language**, this report is based on the international application in the language in which it was filed, unless otherwise indicated under this item.
- ☐ This report is based on translations from the original language into the following language _____, which is the language of a translation furnished for the purposes of:
- ☐ international search (Rule 12.3 and 23.1(b))
- ☐ publication of the international application (Rule 12.4)
- ☐ international preliminary examination (Rule 55.2 and/or 55.3)
2. With regard to the **elements** of the international application, this report is based on *(replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report)*:
- ☐ the international application as originally filed/furnished
- ☒ the description:
- pages 1-8 _____ as originally filed/furnished
- pages* _____ received by this Authority on _____
- pages* _____ received by this Authority on _____
- ☒ the claims:
- nos. 1-5 _____ as originally filed/furnished
- nos.* _____ as amended (together with any statement) under Article 19
- nos.* _____ received by this Authority on _____
- nos.* _____ received by this Authority on _____
- ☒ the drawings:
- sheets 1/1 _____ as originally filed/furnished
- sheets* _____ received by this Authority on _____
- sheets* _____ received by this Authority on _____
- ☐ a sequence listing and/or any related table(s) – see Supplemental Box Relating to Sequence Listing.
3. ☐ The amendments have resulted in the cancellation of:
- ☐ the description, pages _____
- ☐ the claims, nos. _____
- ☐ the drawings, sheets/figs _____
- ☐ the sequence listing (*specify*): _____
- ☐ any table(s) related to sequence listing (*specify*): _____
4. ☐ This report has been established as if (some of) the amendments annexed to this report and listed below had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).
- ☐ the description, pages _____
- ☐ the claims, nos. _____
- ☐ the drawings, sheets/figs _____
- ☐ the sequence listing (*specify*): _____
- ☐ any table(s) related to sequence listing (*specify*): _____

* If item 4 applies, some or all of those sheets may be marked "superseded."

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Box No. V	Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement		
1.	Statement		
	Novelty (N)	Claims _____	YES
		Claims <u>1-3</u>	NO
	Inventive step (IS)	Claims _____	YES
		Claims <u>4, 5</u>	NO
	Industrial applicability (IA)	Claims <u>1-5</u>	YES
		Claims _____	NO
2.	Citations and explanations (Rule 70.7)		
1.	<p>The present report makes reference to the following documents:</p> <p>D1: DE 17 75 827 A (GALICHER GEORGES) 16 September 1971 (1971-09-16)</p> <p>D2: US-A-5 390 347 (BURI GERHARD ET AL) 14 February 1995 (1995-02-14).</p>		
2.	<p>Document D1 discloses (the references in parentheses are to said document):</p> <p>a planetary gear set comprising a sun wheel (7) connected to the drive shaft (1), a ring gear (9) that can be connected to either the input shaft or the housing, and a planet carrier (15) with planets (8), said planet carrier constituting the output of the planetary gear set.</p> <p>For shifting the gears, a sliding sleeve (17), which rotates with the ring gear, is pushed with its centring diameter (32) onto a centring collar (41) of the input shaft.</p> <p style="text-align: right;">/...</p>		

Box No. V	Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
2.1	<p data-bbox="412 407 781 432">INDEPENDENT CLAIM 1</p> <p data-bbox="412 506 1336 682">Thus, document D1 discloses all the features according to independent claim 1 in combination. The subject matter of said claim therefore lacks novelty (PCT Article 33(2)).</p> <p data-bbox="298 751 800 777">3. DEPENDENT CLAIMS 2-5</p> <p data-bbox="412 850 1357 1127">Claims 2-5 contain no features which, combined with the features of any claim to which they refer, meet the PCT requirements for novelty and/or inventive step. The centring collar is in the form of a synchronising cone and slows the ring gear before the dogs engage.</p> <p data-bbox="412 1199 1377 1476">In D2 (see figure 1), the sliding sleeve 26 is welded onto the retaining ring (27). Therefore, for a person skilled in the art, the transfer to document D1 of a retaining ring that is welded or snapped on, in order to simplify production of the sliding sleeve, is obvious.</p>